

North Carolina

DWI SUBSTANCE ABUSE ASSESSMENTS

CONDUCTED IN CALENDAR 2000

FROM ANNUAL REPORTS SUBMITTED BY PROVIDERS

Walter K. Helton
DWI Quality Improvement Services

April 4, 2001

ABSTRACT

DWI SUBSTANCE ABUSE ASSESSMENTS CONDUCTED IN CALENDAR 2000

The rules governing DWI Substance Abuse Services which were promulgated in 1994 (T10: 18F.0300) included for the first time a requirement upon all facilities to submit a report to the Division by February 15 of each year showing the number of DWI assessments provided in the previous calendar year. This information is to include the differentiation of assessments by client status as pre-trial and post-trial. The report must also show the placement recommendation generated by the assessments by indicating the number of clients placed in each of the service levels.

The report attached is a simple spread sheet tabulation of information from the facility reports of assessments provided in calendar 2000. In terms of timeliness and completeness, the reports for this year were the best of those submitted to date. The process has improved steadily over the years.

The spreadsheet shows all facilities reporting by numerical facility code, along with the numbers of assessments provided for pre- and post-trial cases and the numbers placed in each level of service. The report does not indicate anything further as to the clients' fulfillment of the requirement, or even whether the client was ultimately convicted of the offense.

Simple totals and averages are included for each category and along with a rudimentary analysis of the numbers and percentages of clients placed in ADETS as opposed to some level of treatment. A column was added this year to show each facility's referrals to ADETS in terms of deviation from the norm.

The objective of this report is primarily to provide feedback to the facilities and, secondarily, to provide information to be used in general program evaluation and planning. Each facility can see its activity in relation to other facilities and to the group as a whole.

METHODOLOGY

The source of the information in this report is the annual report of DWI assessments conducted by facilities, which has been mandated in Rules [T10:18F.0319(f)] since 1994. Participation continued to improve this year so that this report contains data from all facilities known to have conducted assessments in calendar 2000. Utilization of the suggested form also increased this year. A copy of the form is attached to this report and is available on the DWI web site.

Facilities are required to keep records and report all assessments provided for any of the DWI offenses, indicating whether the assessment was conducted before the offender's trial or after the conviction. The report must also show the number of offenders placed in each level of substance abuse service pursuant to the assessment. The pre-trial group will include some assessments legitimately conducted for individuals who were not ultimately convicted of a DWI offense.

The information supplied in the facility reports was entered on a spreadsheet and identified by each facility's five-digit DWI code. The numbers of pre- and post-trial assessments provided and the number of clients referred to the six types of service specified in the Rules governing placement are shown for each facility. We have also computed and shown the percent of total referrals made to ADETS, i.e., for whom a "handicap" was not identified.

Facilities are grouped into the two basic types: area program services and private providers. For each group, and for the total of facilities reporting, the report computes the percentage of each column of the total for that category. The percentage of the total clients served by each type of facility is also shown in the field under the total assessments provided by that type of facility.

Observations:

The patterns of the population served (pre- vs. post-trial) did not change significantly and the patterns and percentages of placement levels changed only slightly. The slow trend toward reduction of referrals to ADETS continued (two percentage points) with a corresponding increase in referrals to the most intensive treatment categories. There was improvement in the reporting of pre- and post-trial distinctions as evidenced by a reduction in reports with discrepancies in this category to 1% over all.

Structure and layout of the data:

Columns contain the following information, left to right:

1. The 5-digit code by which each facility is identified. Asterisk (*) indicates a discrepancy within the facility's report of assessments provided.
2. The number of assessments provided to clients before the adjudication of their offense (pre-trial).
3. The number of assessments provided to clients who had been tried and convicted of a DWI offense (post-trial).
4. The total of both categories reported, and the cases in which the category was not indicated.
5. The number of clients referred to the Alcohol/Drug Education Traffic School, i.e., for whom a substance abuse handicap could not be identified.
6. The number placed in Short-Term Counseling, a minimum of 20 hours over at least 30 days.
7. The number placed in Longer-Term Treatment, a minimum of 40 hours of treatment over at least 60 days.
8. The number placed in Intensive Substance Abuse Treatment, which is licensed as "Day Treatment" and involves a minimum of 90 hours of treatment over a minimum of 90 days.
9. Individuals completing inpatient/residential treatment followed by outpatient and/or continuing care with an authorized DWI facility, to complete a minimum of 90 days of care.
10. The number placed in other treatment settings and/or having special service plans.
11. The total of individuals found to have a substance abuse handicap and referred to treatment.
12. The percentage of cases referred to Alcohol/Drug Education Traffic School (ADETS).
13. Facility's deviation from the average of clients placed in ADETS, as a percentage of the average of all facilities reporting.
14. Total client placements reported by the facility.

Respectfully submitted,

Walter K. Helton, Director
DWI Quality Improvement Services

DWI ASSESSMENTS REPORTED - CALENDAR YEAR 2000														
< < < < < Handicap > > > >														
Facility	Pre-Trial	Post-Tr.	Total	ADETS	20/30	40/60	90/90	Inpt90	Spec.	Total	ADETS	%/avg.	Total	
Area Programs:			(asses)											(placed)
13011	31	49	80	33	25	14	8	0	0	47	41%	172%	80	
13012	14	41	55	11	29	11	1	2	1	44	20%	83%	55	
13013	21	72	93	25	44	24	0	0	0	68	27%	112%	93	
13014	18	29	47	15	16	16	0	0	0	32	32%	133%	47	
13015	61	131	192	65	55	48	12	6	6	127	34%	141%	192	
13016	45	71	116	42	38	22	11	3	0	74	36%	151%	116	
13017	18	48	66	11	22	21	6	5	1	55	17%	69%	66	
13021Bun	59	150	209	39	71	48	34	7	10	170	19%	78%	209	
13022//3/4	17	66	83	10	36	30	3	4	0	73	12%	50%	83	
13031	29	73	102	28	15	17	21	12	9	74	27%	114%	102	
13032	32	99	131	10	38	45	18	16	4	121	8%	32%	131	
13033	17	30	47	16	8	23	0	0	0	31	34%	142%	47	
13034	13	20	33	3	12	12	0	0	6	30	9%	38%	33	
13035	53	64	117	55	38	20	0	4	0	62	47%	196%	117	
13041Tran	14	37	51	6	15	22	4	4	0	45	12%	49%	51	
13042Hen	18	64	82	10	19	23	18	12	0	72	12%	51%	82	
13051Alex	31	79	110	17	28	62	0	1	2	93	15%	64%	110	
13051Burk	27	73	100	19	29	46	0	2	4	81	19%	79%	100	
13051Cald	28	64	92	9	29	48	0	2	4	83	10%	41%	92	
13051Mcd	0	8	8	2	3	3	0	0	0	6	25%	104%	8	
13061	44	139	183	29	39	114	0	1	0	154	16%	66%	183	
13081Lin	78	155	233	42	122	45	17	7	0	191	18%	75%	233	
13081Clev	30	146	176	43	67	32	0	0	34	133	24%	102%	176	
13081Gas	178	387	565	210	208	111	36	0	0	355	37%	155%	565	
13091	95	205	300	51	76	135	20	7	11	249	17%	71%	300	
13101	295	415	710	145	234	176	150	5	0	565	20%	85%	710	
13121	147	896	1043	248	268	280	61	110	76	795	24%	99%	1043	
23011	7	26	33	5	13	15	0	0	0	28	15%	63%	33	
23012	7	30	37	9	24	3	1	0	0	28	24%	101%	37	
23013	8	11	19	5	7	6	1	0	0	14	26%	110%	19	
23014	8	58	66	13	17	30	6	0	0	53	20%	82%	66	
23021Fors	83	345	428	91	176	103	35	5	18	337	21%	89%	428	
23021Davi	28	74	102	18	39	16	29	0	0	84	18%	74%	102	
23022	7	70	77	5	53	10	3	2	4	72	6%	27%	77	
*23031			454	158	76	0	92	128	0	296	35%	145%	454	
23041	98	495	593	146	243	138	39	1	26	447	25%	103%	593	
23051	147	307	454	127	162	97	20	42	6	327	28%	117%	454	
23052	16	307	323	11	19	15	1	3	0	38	3%	14%	49	
23061	88	145	233	71	69	88	5	0	0	162	30%	127%	233	
23062	6	49	55	15	15	25	0	0	0	40	27%	114%	55	
23063	26	50	76	16	33	25	2	0	0	60	21%	88%	76	
23081	56	305	361	61	182	91	27	0	0	300	17%	70%	361	
33021	8	109	117	21	35	26	30	5	0	96	18%	75%	117	
33030	132	245	377	42	167	138	0	28	0	333	11%	46%	375	
33041	26	244	270	24	85	58	13	4	86	246	9%	37%	270	

DWI ASSESSMENTS REPORTED - CALENDAR YEAR 2000													
< < < < Handicap > > > >													
Facility	Pre-Trial	Post-Tr.	Total	ADETS	20/30	40/60	90/90	Inpt90	Spec.	Total	ADETS	%/avg.	Total
33042	23	108	131	9	65	48	4	5	0	122	7%	29%	131
33043	107	231	338	79	123	134	0	0	2	259	23%	97%	338
33044	37	41	78	9	14	42	9	4	0	69	12%	48%	78
33051	94	359	453	119	177	151	6	0	0	334	26%	109%	453
33061	24	119	143	41	43	42	3	14	0	102	29%	119%	143
33062	115	220	335	116	176	38	2	3	0	219	35%	144%	335
33081	502	729	1231	389	415	276	110	21	20	842	32%	132%	1231
*33101	175	125	300	106	25	100	10	10	0	145	35%	147%	251
*43011	90	166	256	49	56	24	19	7	0	106	19%	80%	155
43021	260	320	580	165	98	301	0	16	0	415	28%	119%	580
43031	12	302	314	97	78	0	139	0	0	217	31%	129%	314
43041	14	124	138	17	36	70	0	15	0	121	12%	51%	138
43051	31	270	301	15	96	102	56	32	0	286	5%	21%	301
43061	27	172	199	47	84	52	10	6	0	152	24%	98%	199
43071	44	321	365	65	133	119	45	3	0	300	18%	74%	365
*43081	21	172	193	38	87	70	6	2	2	167	20%	82%	205
43091	131	189	320	93	115	75	37	0	0	227	29%	121%	320
43101	16	124	140	26	47	65	2	0	0	114	19%	77%	140
43111	43	170	213	83	147	37	0	0	0	184	39%	162%	267
43121Dare	99	96	195	65	90	36	3	1	0	130	33%	139%	195
43121Pasc	77	86	163	55	74	31	2	1	0	108	34%	141%	163
43121Cur	14	13	27	12	12	3	0	0	0	15	44%	185%	27
43121Cho	25	28	53	12	27	11	3	0	0	41	23%	94%	53
43131	29	159	188	38	76	64	3	4	3	150	20%	84%	188
Totals	4174	11125	15753	3777	5293	4223	1193	572	335	11616	25%		15393
%	26%	71%	97%	25%	34%	27%	8%	4%	2%	75%			36%
Not specified:			3%										of Total
Private Providers:												%/avg.	
50003	193	47	240	50	93	87	10	0	0	190	21%	87%	240
50008	151	92	243	86	110	24	12	11	0	157	35%	147%	243
50018	718	270	988	291	412	218	67	0	0	697	29%	123%	988
50019	73	30	103	56	38	9	0	0	0	47	54%	227%	103
50021	140	95	235	47	127	32	2	27	0	188	20%	83%	235
50023	5	8	13	5	1	3	4	0	0	8	38%	160%	13
50027	27	10	37	6	23	6	1	1	0	31	16%	68%	37
50028	77	27	104	23	77	4	0	0	0	81	22%	92%	104
50029	10	25	35	10	14	9	2	0	0	25	29%	119%	35
50035	1	1	2	0	2	0	0	0	0	2	0%	0%	2
50037	500	289	789	446	305	18	0	20	0	343	57%	236%	789
50047	5	7	12	0	2	0	0	10	0	12	0%	0%	12
50048	39	67	106	16	27	49	0	14	0	90	15%	63%	106
50049	15	14	29	7	13	7	1	1	0	22	24%	101%	29
50053	65	70	135	20	46	30	32	7	0	115	15%	62%	135
50054	20	11	31	4	26	1	0	0	0	27	13%	54%	31
50057	104	55	159	51	60	33	6	9	0	108	32%	134%	159

DWI ASSESSMENTS REPORTED - CALENDAR YEAR 2000														
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Facility	Pre-Trial	Post-Tr.	Total	ADETS	20/30	40/60	90/90	Inpt90	Spec.	Total	ADETS	%/avg.	Total	% to
50064	76	64	140	17	84	36	3	0	0	123	12%	51%	140	
50067	61	63	124	21	67	33	0	3	0	103	17%	71%	124	
50068	103	61	164	19	76	49	13	7	0	145	12%	48%	164	
50070	32	24	56	10	22	21	3	0	0	46	18%	74%	56	
50075	168	131	299	30	151	100	3	1	14	269	10%	42%	299	
50078	255	11	266	44	172	44	6	0	0	222	17%	69%	266	
*50079			19	4	11	4	0	0	0	15	21%	88%	19	
50080	32	6	38	4	21	13	0	0	0	34	11%	44%	38	
50083	340	51	391	127	226	35	3	0	0	264	32%	135%	391	
50088	53	32	85	19	45	14	0	7	0	66	22%	93%	85	
50089	28	14	42	6	20	15	0	1	0	36	14%	60%	42	
50090	130	106	236	82	81	67	1	2	3	154	35%	145%	236	
50095	118	90	208	21	60	76	21	19	11	187	10%	42%	208	
50100	27	31	58	15	11	27	5	0	0	43	26%	108%	58	
50105	190	30	220	85	79	56	0	0	0	135	39%	161%	220	
50106	65	46	111	26	64	21	0	0	0	85	23%	98%	111	
50108	218	100	318	66	160	48	5	39	0	252	21%	86%	318	
50115	156	70	226	27	61	111	19	8	0	199	12%	50%	226	
50116	86	3	89	24	43	22	0	0	0	65	27%	112%	89	
50117	2	3	5	4	1	0	0	0	0	1	80%	333%	5	
50119	85	31	116	49	29	36	0	0	2	67	42%	176%	116	
50123	46	46	92	7	38	44	3	0	0	85	8%	32%	92	
50124	7	10	17	7	5	5	0	0	0	10	41%	172%	17	
50126	184	90	274	81	176	7	4	6	0	193	30%	123%	274	
50128	32	37	69	10	39	16	4	0	0	59	14%	60%	69	
50135	16	100	116	13	24	63	16	0	0	103	11%	47%	116	
50138	158	107	265	74	143	43	0	1	4	191	28%	116%	265	
50144	52	77	129	2	55	46	9	17	0	127	2%	6%	129	
50145	10	16	26	11	9	6	0	0	0	15	42%	176%	26	
50146	41	86	127	20	78	26	3	0	0	107	16%	66%	127	
50151	65	46	111	31	46	33	1	0	0	80	28%	116%	111	
50152	6	3	9	6	1	0	1	1	0	3	67%	278%	9	
50155	127	149	276	17	144	95	4	16	0	259	6%	26%	276	
50156	123	81	204	49	129	22	4	0	0	155	24%	100%	204	
50161	4	5	9	0	3	5	0	1	0	9	0%	0%	9	
50162	64	39	103	12	40	39	7	5	0	91	12%	49%	103	
50166	0	0	0	0	0	0	0	0	0	0	0%	0%	0	
*50167			3	0	0	0	0	3	0	3	0%	0%	3	
50168	442	83	525	138	209	110	67	1	0	387	26%	110%	525	
50169	93	7	100	16	45	30	9	0	0	84	16%	67%	100	
50170	192	119	311	69	194	41	7	0	0	242	22%	92%	311	
50172	105	19	124	45	59	18	2	0	0	79	36%	151%	124	
50173	4	20	24	0	4	15	5	0	0	24	0%	0%	24	
50174	42	50	92	5	48	37	0	2	0	87	5%	23%	92	
50178	111	72	183	33	70	58	17	5	0	150	18%	75%	183	
50180	105	80	185	43	72	63	5	2	0	142	23%	97%	185	
50181	234	85	319	43	153	110	13	0	0	276	13%	56%	319	
50182	92	102	194	21	68	75	14	3	13	173	11%	45%	194	

DWI ASSESSMENTS REPORTED - CALENDAR YEAR 2000														
< < < < Handicap > > > >														
Facility	Pre-Trial	Post-Tr.	Total	ADETS	20/30	40/60	90/90	Inpt90	Spec.	Total	ADETS	%/avg.	Total	% to
50372	73	12	85	15	50	18	2	0	0	70	18%	74%	85	
50374	45	6	51	14	18	9	10	0	0	37	27%	114%	51	
50377	288	76	364	158	138	42	26	0	0	206	43%	181%	364	
50379	5	2	7	2	4	1	0	0	0	5	29%	119%	7	
50380	0	0	0	0	0	0	0	0	0	0	0%	0%	0	
50382	10	16	26	6	19	1	0	0	0	20	23%	96%	26	
50383	111	47	158	24	82	49	0	3	0	134	15%	63%	158	
*50384	17	9	26	8	12	2	0	0	0	14	31%	128%	22	
50385	56	20	76	23	40	12	1	0	0	53	30%	126%	76	
50387	1	0	1	0	1	0	0	0	0	1	0%	0%	1	
*50389	2	5	7	2	4	0	0	0	0	4	29%	119%	6	
50392	40	43	83	7	25	20	25	6	0	76	8%	35%	83	
50393	0	4	4	0	3	1	0	0	0	4	0%	0%	4	
50394	131	25	156	27	68	52	7	2	0	129	17%	72%	156	
50395	2	11	13	3	1	0	9	0	0	10	23%	96%	13	
50396	0	0	0	0	0	0	0	0	0	0	0%	0%	0	
50397	0	1	1	0	0	0	0	0	0	1	0%	0%	1	
50400	1	3	4	0	0	1	3	0	0	4	0%	0%	4	
50401	1	2	3	1	1	0	1	0	0	2	33%	139%	3	
50402	81	62	143	23	80	29	11	0	0	120	16%	67%	143	
50404	21	146	167	40	37	79	8	3	0	127	24%	100%	167	
50406	0	0	0	0	0	0	0	0	0	0	0%	0%	0	
50407	37	7	44	6	26	10	2	0	0	38	14%	57%	44	
50408	360	10	370	75	170	67	58	0	0	295	20%	84%	370	
50410	14	41	55	6	26	17	6	0	0	49	11%	45%	55	
50411	6	3	9	3	5	0	1	0	0	6	33%	139%	9	
50412	18	12	30	5	17	18	0	0	0	35	17%	69%	40	
50413	0	0	0	0	0	0	0	0	0	0	0%	0%	0	
50414	1	2	3	0	3	0	0	0	0	3	0%	0%	3	
50416	86	69	155	59	61	34	1	0	0	96	38%	159%	155	
50417	7	12	19	3	5	8	3	0	0	16	16%	66%	19	
50418	0	2	2	0	0	2	0	0	0	2	0%	0%	2	
50419	3	17	20	8	9	3	0	0	0	12	40%	167%	20	
*50421	9	0	9	5	3	0	0	0	0	3	56%	231%	8	
50422	2	10	12	0	0	3	7	2	0	12	0%	0%	12	
50424	29	15	44	19	21	2	2	0	0	25	43%	180%	44	
50425	23	14	37	8	21	8	0	0	0	29	22%	90%	37	
50427	0	0	0	0	0	0	0	0	0	0	0%	0%	0	
50428	0	4	4	0	2	0	0	0	2	4	0%	0%	4	
50429	3	8	11	2	6	3	0	0	0	9	18%	76%	11	
50430	3	2	5	1	2	2	0	0	0	4	20%	83%	5	
40431	6	2	8	2	5	1	0	0	0	6	25%	104%	8	
50432	4	3	7	2	4	0	0	1	0	5	29%	119%	7	
50435	5	9	14	3	8	3	0	0	0	11	21%	89%	14	
51006	184	131	315	100	119	92	0	4	0	215	32%	132%	315	
51008	117	114	231	61	75	65	27	1	2	170	26%	110%	231	
51014	644	191	835	303	413	74	17	28	0	532	36%	151%	835	
51019	134	30	164	39	112	12	1	0	0	125	24%	99%	164	

DWI ASSESSMENTS REPORTED - CALENDAR YEAR 2000													
< < < < Handicap > > > >													
Facility	Pre-Trial	Post-Tr.	Total	ADETS	20/30	40/60	90/90	Inpt90	Spec.	Total	ADETS	%/avg.	Total
51020	85	7	92	10	31	40	11	0	0	82	11%	45%	92
51023	40	21	61	21	18	18	4	0	0	40	34%	143%	61
Totals	18140	9773	27935	6782	13286	5982	1269	519	85	21141	24%		27923
%	65%	35%	100%	24%	48%	21%	5%	2%	0%	76%			64%
Not specified:			0%										of total
All Providers:													
	Pre-Trial	Post-Tr.	Total	ADETS	20/30	40/60	90/90	Inpt90	Spec.	Total tr	% ADET		Tot. Cli
	22314	20898	43688	10559	18579	10205	2462	1091	420	32757	24%		43316
%	51%	48%	99%	24%	43%	24%	6%	3%	1%	76%			
Not specified:			1%										
* =Discrepancy within the facility's report													
Note: Zeros in "total" columns indicate a report was submitted showing 0 assessments provided.													
Note: Facilities whose codes do not appear above did not submit a report for 2000													
Tabulation													
Area Program Facilities Report 68													
Private Facilities Reporting 210													
Total Facilities Reporting 278													
DWI ASSESSMENTS REPORTED - 2000													
SUMMARY													
< < < < Handicap > > > >													
	Pre-Trial	Post-Tr.	Total	ADETS	20/30	40/60	90/90	Inpt90	Spec	Total	ADETS	% to	Total
Area Programs:													
Totals	4174	11125	15753	3777	5293	4223	1193	572	335	11616	25%		15393
%	26%	71%	97%	25%	34%	27%	8%	4%	2%	75%			36%
Not specified:			3%										Tot.Cli.
Private Providers:													
Totals	18140	9773	27935	6782	13286	5982	1269	519	85	21141	24%		27923
%	65%	35%	100%	24%	48%	21%	5%	2%	0%	76%			64%
Not specified:			0%										Tot.Cli.
All Providers:													
	Pre-Trial	Post-Tr.	Total	ADETS	20/30	40/60	90/90	Inpt90	Spec.	Total tr	% ADET		Tot. Cli
	22314	20898	43688	10559	18579	10205	2462	1091	420	32757	24%		43316
%	51%	48%	99%	24%	43%	24%	6%	3%	1%	76%			
Not specified:			1%										